

Governance & Ethics Committee

December 7, 2010

Review/Evaluation of Code of Ethics Adequacy - Staff

- Standards
- Risks Addressed
- Breaches
- Actions of 3rd parties
- Incorporated into policies
- Understanding and acceptance by those covered
- Publicity
- Waivers
- Certifications of Compliance

Employee Financial Disclosure - Compliance

- 2010-2011 Filing Year
 - 1,831 Required Filers (350 Executive Band & 1,481 Designated Staff)
 - Executive Band Compliance: 100.0 %
 - Designated Staff Compliance: 99.9 %